

✓ Section 1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of
Krishna Kanth et al

Application No. 09/702,291

Filing Date: October 30, 2000

For: Scalability, Availability and
Management Features for Business
Commerce Server

Examiner: Not yet assigned
Art Unit: 2161

CERTIFICATE OF MAILING

I hereby certify that on April 9, 2001, this paper is being deposited with the United States Postal Service as U. S. as first class mail in an envelope addressed to Assistant Commissioner of Patents, Box Missing Parts Washington, DC 20231



Signature Paula M. Theismann

RESPONSE TO NOTICE TO FILE MISSING PARTS

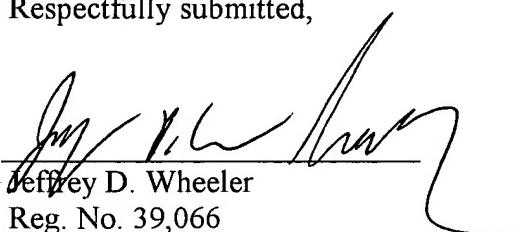
Assistant Commissioner for Patents
Box Missing Parts
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts for the above-captioned matter dated January 16, 2001, Applicants respectfully submit the executed Declaration and Power of Attorney and check in the amount of \$420.00 to cover the filing fee and surcharge.

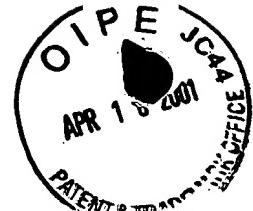
Please charge any deficiency or credit any overpayment in connection with this filing to our Deposit Account No. 19-3140.

Respectfully submitted,


Jeffrey D. Wheeler
Reg. No. 39,066

Sonnenschein Nath & Rosenthal
P.O. Box 061080
Wacker Drive Station
Sears Tower
Chicago, IL 60606-1080
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SFT 3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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09/702,291

10/30/2000

Krishna Kanth

ASRAP012

22434
 BEYER WEAVER & THOMAS LLP
 P.O. BOX 778
 BERKELEY, CA 94704-0778



CONFIRMATION NO. 1426

FORMALITIES LETTER



OC000000005680877

Date Mailed: 01/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

- **The balance due by applicant is \$ 420.**

*A copy of this notice **MUST** be returned with the reply.**H/S.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/19/2001 AG010M 00000008 09702291
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 355.00 00
 65.00 00

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Client Matter No 9791730-0014

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of:

Krishna Kanth et al

Serial No.: 09/702,291

Examiner: Not assigned

Filed: October 30, 2000

Group Art Unit: 2161

For: Scalability, Availability and
Management Features for Business
Commerce Server

FILING RECEIPT CORRECTION

Assistant Commissioner for Patents
Washington, D.C. 20231

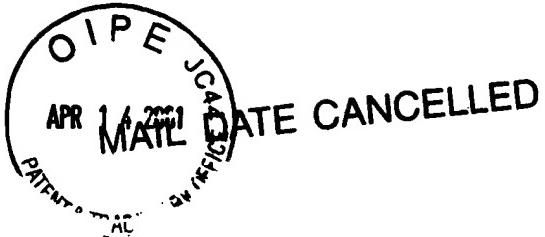
Attn: Office of Initial Patent Examination's Customer Service Center

Dear Sir or Madam:

Please correct the filing receipt (copy enclosed) related to the above referenced patent application. The "Atty.Docket No." should be corrected as follows: "ASRAP010" should be -- 9791730-0014-- and "Address for Correspondence" should be corrected as follows: "22434 Beyer Weaver & Thomas LLP; P. O. Box 778; Berkeley, California 94704-0778" should be -- 26263; Mr. Jeffrey D. Wheeler; Sonnenschein Nath & Rosenthal; P. O. Box 061080; Wacker Drive Station; Sears Tower; Chicago, Illinois 60606-1080--; as evidenced by a copy of the Declaration filed on same date with the response to the Notice to File Missing Parts. The "Continuing Data ..." should be corrected as follows: "This Appln claims benefit of 60/164,021 08/23/99" should be removed as the above-identified patent application was not filed within the one year date of the provisional patent application.

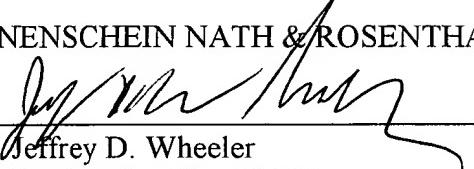


Thank you.



Respectfully submitted,

SONNENSCHEIN NATH & ROSENTHAL

By: 
Jeffrey D. Wheeler
Registration No. 39,066

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I hereby certify that this document and any being referred to as attached or enclosed are being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on
4/19/01 Paula M. Theisman
Date Paula M. Theismann



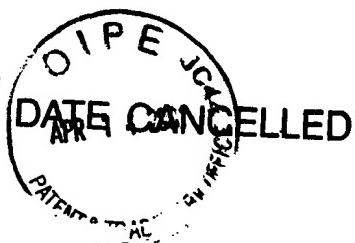
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/702,291	10/30/2000	2161	0	ASRAP012	10	20	2

22434
BEYER WEAVER & THOMAS LLP
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BERKELEY, CA 94704-0778



CONFIRMATION NO. 1426
FILING RECEIPT



OC000000005680876

Date Mailed: 01/16/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Krishna Kanth, Santa Clara, CA;
Hanuma Kodavalla, Union City, CA;
Hooman Moobed, San Jose, CA;
Ravi Sharma, Fremont, CA;
Siamak Darakhshan, San Jose, CA;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/164,021 08/23/1999

Foreign Applications

If Required, Foreign Filing License Granted 01/03/2001

Projected Publication Date:

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Scalability, availability, and management features for business commerce server

Department Date
FEB 15 2001
DAS
BERKELEY

Preliminary Class

370

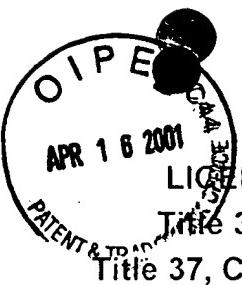


Data entry : SANDARA, HOA

Team : OIPE

Date: 01/16/2001





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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15



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NOT GRANTED

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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